

# Form 603

Corporations Act 2001

Section 671B

## Notice of initial substantial holder

To Company Name/Scheme LITHIUM POWER INTERNATIONAL LIMITED

ACN/ARSN ACN 607 260 328

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder became a substantial holder on 26-Aug-2020

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Common Stock	14,320,968	14,320,968	5.45%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Voting rights and right to dispose	10,401,878 Common Stock
Credit Suisse AG, Dublin Branch	Holder of securities subject to an obligation to return under securities lending agreement	3,919,090 Common Stock

### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	10,401,878 Common Stock
Credit Suisse AG, Dublin Branch	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse AG, Dublin Branch	3,919,090 Common Stock

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Credit Suisse Equities (Australia) Limited	4-May-20	16,233.35 AUD		-120,247 Common Stock
Credit Suisse Equities (Australia) Limited	10-Jun-20	525.0 AUD		3,000 Common Stock
Credit Suisse Equities (Australia) Limited	11-Jun-20	12,475.0 AUD		70,000 Common Stock
Credit Suisse Equities (Australia) Limited	12-Jun-20	301.0 AUD		2,150 Common Stock
Credit Suisse Equities (Australia) Limited	15-Jun-20	8,250.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Jun-20	2,376.96 AUD		-14,856 Common Stock
Credit Suisse Equities (Australia) Limited	17-Jun-20	600.0 AUD		4,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Jun-20	10,950.0 AUD		65,000 Common Stock
Credit Suisse Equities (Australia) Limited	19-Jun-20	5,592.65 AUD		31,958 Common Stock
Credit Suisse Equities (Australia) Limited	22-Jun-20	2,134.64 AUD		10,756 Common Stock
Credit Suisse Equities (Australia) Limited	22-Jun-20	640.0 AUD		-4,000 Common Stock
Credit Suisse Equities (Australia) Limited	23-Jun-20	7,276.0 AUD		33,900 Common Stock
Credit Suisse Equities (Australia) Limited	24-Jun-20	1,050.0 AUD		5,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Jun-20	1,860.0 AUD		8,000 Common Stock
Credit Suisse Equities (Australia) Limited	25-Jun-20	14,101.76 AUD		-65,008 Common Stock
Credit Suisse Equities (Australia) Limited	26-Jun-20	2,000.0 AUD		10,000 Common Stock
Credit Suisse Equities (Australia) Limited	29-Jun-20	8,489.50 AUD		-41,900 Common Stock
Credit Suisse Equities (Australia) Limited	29-Jun-20	1,075.0 AUD		-5,000 Common Stock
Credit Suisse Equities (Australia) Limited	29-Jun-20	11,100.0 AUD		60,000 Common Stock
Credit Suisse Equities (Australia) Limited	1-Jul-20	16,475.0 AUD		-90,000 Common Stock
Credit Suisse Equities (Australia) Limited	6-Jul-20	5,075.0 AUD		-29,000 Common Stock
Credit Suisse Equities (Australia) Limited	15-Jul-20	1,072.50 AUD		6,500 Common Stock

Credit Suisse Equities (Australia) Limited	23-Jul-20	6,741.50 AUD		35,900 Common Stock
Credit Suisse Equities (Australia) Limited	24-Jul-20	2,925.0 AUD		15,000 Common Stock
Credit Suisse Equities (Australia) Limited	27-Jul-20	682.50 AUD		3,500 Common Stock
Credit Suisse Equities (Australia) Limited	29-Jul-20	2,406.35 AUD		12,665 Common Stock
Credit Suisse Equities (Australia) Limited	5-Aug-20	3,600.0 AUD		-20,000 Common Stock
Credit Suisse Equities (Australia) Limited	5-Aug-20	4,875.0 AUD		25,000 Common Stock
Credit Suisse Equities (Australia) Limited	6-Aug-20	11,780.0 AUD		58,000 Common Stock
Credit Suisse Equities (Australia) Limited	11-Aug-20	3,100.23 AUD		-15,245 Common Stock
Credit Suisse Equities (Australia) Limited	12-Aug-20	1,546.40 AUD		-7,725 Common Stock
Credit Suisse Equities (Australia) Limited	12-Aug-20	900.0 AUD		5,000 Common Stock
Credit Suisse Equities (Australia) Limited	12-Aug-20	647,500.0 AUD		3,500,000 Common Stock
Credit Suisse Equities (Australia) Limited	14-Aug-20	9,391.42 AUD		-52,030 Common Stock
Credit Suisse Equities (Australia) Limited	19-Aug-20	7,875.0 AUD		-45,000 Common Stock
Credit Suisse Equities (Australia) Limited	20-Aug-20	3,948.88 AUD		-22,565 Common Stock
Credit Suisse Equities (Australia) Limited	24-Aug-20	13,125.0 AUD		75,000 Common Stock
Credit Suisse AG, Dublin branch	25-May-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	1,301,000 Common Stock
Credit Suisse AG, Dublin branch	4-Jun-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-1,296,757 Common Stock
Credit Suisse AG, Dublin branch	5-Jun-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-4,243 Common Stock
Credit Suisse AG, Dublin branch	2-Jul-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	1,301,000 Common Stock
Credit Suisse AG, Dublin branch	3-Jul-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	1,301,000 Common Stock
Credit Suisse AG, Dublin branch	6-Jul-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-1,301,000 Common Stock
Credit Suisse AG, Dublin branch	9-Jul-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-1,301,000 Common Stock
Credit Suisse AG, Dublin branch	10-Jul-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	9,289 Common Stock
Credit Suisse AG, Dublin branch	17-Jul-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	4,835 Common Stock

Credit Suisse AG, Dublin branch	20-Jul-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-4,835 Common Stock
Credit Suisse AG, Dublin branch	23-Jul-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	1,301,000 Common Stock
Credit Suisse AG, Dublin branch	28-Jul-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	4,454 Common Stock
Credit Suisse AG, Dublin branch	29-Jul-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-4,454 Common Stock
Credit Suisse AG, Dublin branch	4-Aug-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	4,454 Common Stock
Credit Suisse AG, Dublin branch	5-Aug-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-4,454 Common Stock
Credit Suisse AG, Dublin branch	5-Aug-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	1,301,000 Common Stock
Credit Suisse AG, Dublin branch	6-Aug-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	1,301,000 Common Stock
Credit Suisse AG, Dublin branch	7-Aug-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	1,301,000 Common Stock
Credit Suisse AG, Dublin branch	11-Aug-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	1,301,000 Common Stock
Credit Suisse AG, Dublin branch	24-Aug-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	2,347 Common Stock
Credit Suisse AG, Dublin branch	24-Aug-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	2,487 Common Stock
Credit Suisse AG, Dublin branch	24-Aug-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	1,301,000 Common Stock
Credit Suisse AG, Dublin branch	25-Aug-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-1,301,000 Common Stock
Credit Suisse AG, Dublin branch	25-Aug-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-1,301,000 Common Stock
Credit Suisse AG, Dublin branch	25-Aug-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-1,301,000 Common Stock
Credit Suisse AG, Dublin branch	25-Aug-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-1,301,000 Common Stock
Credit Suisse AG, Dublin branch	25-Aug-20	Refer to Annexure A of Notice	Stock returned under Master prime brokerage agreement	-4,834 Common Stock
Credit Suisse AG, Dublin branch	26-Aug-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	1,301,000 Common Stock
Credit Suisse AG, Dublin branch	26-Aug-20	Refer to Annexure A of Notice	Stock borrowed under Master prime brokerage agreement	6,801 Common Stock

## 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Related Body Corporate
Credit Suisse AG, Dublin Branch	Related Body Corporate

## 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse AG, Dublin Branch	Kilmore House, Park lane, Spencer Dock, Dublin 1, Ireland

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## Signature

print name Winston Loke

capacity Authorised Signatory

sign here

date 31-Aug-2020




Annexure "A"

This is Annexure "A" referred to in the Form 603 "Notice of initial substantial holder"

**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
<b>sign here</b>		date	31-Aug-2020

**Prescribed information****Schedule**

Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Atlantic Absolute Return Fund
Transfer date	24-Aug-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 days prior written notice.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

**Prescribed information****Schedule**

Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Regal AUS Small Companies Fund
Transfer date	26-Aug-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 days prior written notice.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.



**Prescribed information****Schedule**

Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Virtu Financial Singapore
Transfer date	24-Aug-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 days prior written notice.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.