



**LAKE RESOURCES**

**LAKE RESOURCES N.L. (ASX:LKE)**

ASX Market Announcements Office  
27 July 2018

Dear Sir/Madam

**LATE LODGEMENT OF APPENDIX 3Y**

Please find attached an Appendix 3Y Change of Director's Interest Notice for Mr Stephen Promnitz and Mr Stuart Crow.

In relation to the late lodgement of the attached Appendix 3Y, the Company advises as follows;

1. The Appendix 3Ys are lodged late due to an oversight in notifying the Company of an off-market transfer between two directors.
2. The Company has a set of procedures in place to ensure Directors are able to meet their disclosure obligations under Listing Rule 3.19A
3. The Company considers its current arrangements are adequate for ensuring timely notification, and that the failure to do so on this occasion is due to an administrative oversight. However, to avoid a repeat, the Chairman has reminded all Directors of their obligations under the Company's Securities Trading Policy together with the requirements of the ASX Listing Rules.

Yours faithfully

**Andrew Bursill**  
**Company Secretary**  
**Lake Resources NL**

# Appendix 3Y

## Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

|                       |                     |
|-----------------------|---------------------|
| <b>Name of entity</b> | LAKE RESOURCES N.L. |
| <b>ABN</b>            | 49 079 471 980      |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |              |
|----------------------------|--------------|
| <b>Name of Director</b>    | Stuart Crow  |
| <b>Date of last notice</b> | 16 July 2018 |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|  |              |
|--|--------------|
| <b>Direct or indirect interest</b>   | Direct       |
| <b>Nature of indirect interest (including registered holder)</b><br>Note: Provide details of the circumstances giving rise to the relevant interest. |              |
| <b>Date of change</b>  | 18 July 2018 |

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

|  |  |
|--|--|
| <b>No. of securities held prior to change</b>  | <p>3,657,844 – Ordinary Shares (LKE)</p> <p>249,000 – Listed Options (LKEO) expiry date 27 August 2018 and exercise price \$0.10</p> <p>1,684,449 – Listed Options (LKEOA) expiry date 15 December 2018 and exercise price \$0.20</p> <p>156,250 – Unlisted options, expiry 30 November 2018, exercise price \$0.05 (Class C)</p> <p>156,250 – Unlisted options, expiry 21 October 2019, exercise price \$0.05 (Class D)</p> <p>3,000,000 – Unlisted Options, expiry 31 December 2020, exercise price \$0.28</p> |
| <b>Class</b>   | Ordinary Shares (LKE)  |
| <b>Number acquired</b>   | 250,000  |
| <b>Number disposed</b>   | N/A  |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and estimated valuation</small>   | \$0.10 per Ordinary Share  |
| <b>No. of securities held after change</b>   | <p>3,907,844 – Ordinary Shares (LKE)</p> <p>249,000 – Listed Options (LKEO) expiry date 27 August 2018 and exercise price \$0.10</p> <p>1,684,449 – Listed Options (LKEOA) expiry date 15 December 2018 and exercise price \$0.20</p> <p>156,250 – Unlisted options, expiry 30 November 2018, exercise price \$0.05 (Class C)</p> <p>156,250 – Unlisted options, expiry 21 October 2019, exercise price \$0.05 (Class D)</p> <p>3,000,000 – Unlisted Options, expiry 31 December 2020, exercise price \$0.28</p> |
| <b>Nature of change</b><br><small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small> | Off-market trade   |

+ See chapter 19 for defined terms.

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|  |     |
|--|-----|
| <b>Detail of contract</b>  | N/A |
| <b>Nature of interest</b>  | N/A |
| <b>Name of registered holder (if issued securities)</b>  | N/A |
| <b>Date of change</b>  | N/A |
| <b>No. and class of securities to which interest related prior to change</b><br>Note: Details are only required for a contract in relation to which the interest has changed | N/A |
| <b>Interest acquired</b>   | N/A |
| <b>Interest disposed</b>   | N/A |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and an estimated valuation   | N/A |
| <b>Interest after change</b>   | N/A |

**Part 3 – +Closed period**

|  |     |
|--|-----|
| <b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b> | No  |
| <b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>   | N/A |
| <b>If prior written clearance was provided, on what date was this provided?</b>  | N/A |

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## Change of Director's Interest Notice

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Introduced 30/09/01 Amended 01/01/11

|                       |                            |
|-----------------------|----------------------------|
| <b>Name of entity</b> | <b>LAKE RESOURCES N.L.</b> |
| <b>ABN</b>            | <b>49 079 471 980</b>      |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                |
|----------------------------|----------------|
| <b>Name of Director</b>    | Steve Promnitz |
| <b>Date of last notice</b> | 3 May 2018     |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|  |   |
|--|---|
| <b>Direct or indirect interest</b>   | Direct  |
| <b>Nature of indirect interest (including registered holder)</b><br>Note: Provide details of the circumstances giving rise to the relevant interest. |   |
| <b>Date of change</b>  | 18 July 2018  |
| <b>No. of securities held prior to change</b>  | 14,008,124 – Ordinary Shares (LKE)<br><br>625,508 – Unlisted options, expiry 14 May 2018, exercise price \$0.05 (Class C)<br><br>5,000,000 – Unlisted Options, expiry 31 December 2020, exercise price \$0.28<br><br>2,500,000 – Unlisted Performance Rights (LTI Plan) |
| <b>Class</b>   | Ordinary Shares (LKE)   |

+ See chapter 19 for defined terms.

## Appendix 3Y

### Change of Director's Interest Notice

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|   |   |
|---|---|
| <b>Number acquired</b>  | N/A   |
| <b>Number disposed</b>  | 250,000 Ordinary Shares (LKE)   |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and estimated valuation   | \$0.10 per share  |
| <b>No. of securities held after change</b>  | 13,758,124 – Ordinary Shares (LKE)<br><br>625,508 – Unlisted options, expiry 14 May 2018, exercise price \$0.05 (Class C)<br><br>5,000,000 – Unlisted Options, expiry 31 December 2020, exercise price \$0.28<br><br>2,500,000 – Unlisted Performance Rights (LTI Plan) |
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | Off-market trade  |

#### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|  |     |
|--|-----|
| <b>Detail of contract</b>  | N/A |
| <b>Nature of interest</b>  | N/A |
| <b>Name of registered holder (if issued securities)</b>  | N/A |
| <b>Date of change</b>  | N/A |
| <b>No. and class of securities to which interest related prior to change</b><br>Note: Details are only required for a contract in relation to which the interest has changed | N/A |
| <b>Interest acquired</b>   | N/A |
| <b>Interest disposed</b>   | N/A |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and an estimated valuation   | N/A |

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+ See chapter 19 for defined terms.

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|                       |     |
|-----------------------|-----|
| Interest after change | N/A |
|-----------------------|-----|

**Part 3 – +Closed period**

|   |     |
|---|-----|
| Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required? | No  |
| If so, was prior written clearance provided to allow the trade to proceed during this period?   | N/A |
| If prior written clearance was provided, on what date was this provided?  | N/A |

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+ See chapter 19 for defined terms.